

# End-of-Life Battery Management in India's EV Sector: Environmental Law, Biodiversity Risk, and Regulatory Gaps

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## Abstract

The rapid expansion of India's electric vehicle (EV) market, while pivotal for reducing carbon emissions, presents significant environmental and legal challenges, particularly concerning end-of-life (EoL) battery management. Lithium-ion batteries (LIBs), which dominate the EV ecosystem, pose critical risks to ecosystems due to toxic heavy metals and improper disposal practices. This paper investigates the intersection of environmental law, biodiversity risk, and regulatory governance in managing EoL EV batteries in India. By analyzing existing frameworks—such as the E-Waste Management Rules, 2022 and the Battery Waste Management Rules, 2022—and comparing them with global best practices, the study identifies gaps in biodiversity safeguards, legal enforcement, and circular economy integration. It concludes with a multi-pronged policy roadmap to enhance ecological safeguards, legal integration, and stakeholder coordination. The paper advocates for a future-ready, biodiversity-sensitive, and technologically robust approach to EoL battery governance in India.

**Keywords**—Electric Vehicles (EVs), End-of-Life Batteries, Lithium-Ion Batteries (LIBs), Environmental Law, Battery Waste Management, Extended Producer Responsibility (EPR), Biodiversity Risk, Ecological Zoning, Circular Economy, Hazardous Waste, Battery Recycling, Legal Framework, Sustainable Mobility, India, Policy Reform

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## 1. INTRODUCTION

The electric vehicle (EV) revolution is a central pillar in India's decarbonization strategy and its commitment under international climate obligations, including the Paris Agreement and the updated Nationally Determined Contributions (NDCs). The government aims to achieve net-zero emissions by 2070 and reduce projected carbon emissions by 1 billion tonnes by 2030, with the transport sector playing a pivotal role in this transition<sup>1</sup>. As EV adoption accelerates, supported by initiatives like the Faster Adoption and Manufacturing of Electric Vehicles (FAME II) and state-level EV policies, a less visible but equally critical concern is emerging – the management of end-of-life (EoL) batteries. Electric vehicles primarily rely on lithium-ion batteries (LIBs), which contain hazardous and heavy metals such as cobalt, nickel, manganese, and lithium. While these components enable energy storage efficiency, they are also chemically reactive and potentially toxic when disposed of improperly<sup>2</sup>. If LIBs are landfilled, dismantled without proper safeguards, or processed by the informal sector – a prevalent issue in India's e-waste ecosystem – they can leach toxic substances into surrounding soil and water, posing long-term threats to both human health and ecological systems<sup>3</sup>. The consequences extend beyond environmental degradation

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Ministry of Environment, Forest and Climate Change (MoEFCC), *India's Updated First Nationally Determined Contribution under Paris Agreement, 2022*. Available at: <https://moef.gov.in>

<sup>2</sup> Ministry of Environment, Forest and Climate Change (MoEFCC), *India's Updated First Nationally Determined Contribution under Paris Agreement, 2022*. Available at: <https://moef.gov.in>

<sup>3</sup> Central Pollution Control Board (CPCB), *Annual Report on E-Waste Management, 2021-22*. The CPCB estimates that over 90% of battery-related e-waste is processed by the informal sector.

to the erosion of biodiversity, especially in regions near protected habitats or eco-sensitive zones. The hazardous potential of battery components is not merely a technical challenge but a regulatory and legal dilemma. Although India has made significant strides by enacting the Battery Waste Management Rules, 2022, under the Environment (Protection) Act, 1986, and updating the E-Waste Management Rules, these frameworks remain fragmented and largely anthropocentric. They do not comprehensively address the bio-ecological risks associated with large-scale battery disposal and recycling operations<sup>4</sup>. In particular, the intersections between battery waste management and biodiversity conservation laws, such as the Wildlife (Protection) Act, 1972 and the Biological Diversity Act, 2002, are underexplored. These legislations operate in silos, rarely interacting with environmental protection policies concerning industrial waste. This regulatory disconnect exacerbates the possibility of ecological spillovers, especially when recycling units are situated near wetlands, forest peripheries, or tribal biodiversity-rich zones<sup>5</sup>. This paper aims to bridge this critical knowledge and policy gap. It examines India's regulatory ecosystem governing EoL EV batteries through the lenses of environmental law, biodiversity risk, and ecological justice. By identifying legislative inadequacies, implementation gaps, and blind spots in battery lifecycle governance, the study advocates for a biodiversity-sensitive legal approach that integrates environmental sustainability with India's clean mobility goals.

### Statement of the Problem

The rapid growth of India's electric vehicle sector, driven by environmental concerns and government incentives, has led to a surge in the production and use of lithium-ion batteries. However, there is inadequate infrastructure and regulatory clarity on how to manage these batteries at the end of their lifecycle. Improper disposal or unregulated recycling of EV batteries poses severe environmental threats—including soil and water contamination—and directly endangers local biodiversity through toxic leaching and habitat degradation. Despite the formulation of the Battery Waste Management Rules, enforcement remains weak, and there is a lack of integration between environmental protection laws and waste management frameworks. This regulatory disconnect poses a serious challenge to India's climate goals and environmental justice commitments.

### 1. Research Objective

The primary objective of this research is to critically analyze the legal and regulatory frameworks governing the end-of-life (EoL) management of electric vehicle (EV) batteries in India, with a special focus on environmental and biodiversity implications. The study aims to:

- Examine the current environmental laws applicable to EV battery disposal, recycling, and reuse.
- Identify the impact of battery waste on biodiversity and ecological systems.
- Investigate the effectiveness and enforcement of the Battery Waste Management Rules, 2022, and related policies.
- Highlight gaps in India's regulatory mechanisms and suggest reforms for a sustainable and biodiversity-sensitive EoL battery management system.

### Literature Review (Brief Overview)

- **EV Growth and Battery Waste:** Various studies (IEA, 2022; NITI Aayog, 2021) have predicted exponential growth in EV usage, leading to increased battery waste.
- **Environmental Hazards:** Research highlights the ecological toxicity of lithium, cobalt, and nickel leachates (Gupta & Sharma, 2020; Singh et al., 2022), which affect soil microorganisms and aquatic systems.
- **Legal Framework:** Indian environmental law includes the Environment Protection Act, 1986, and the Hazardous and Other Wastes Rules, 2016, but these have been critiqued for inadequate battery-specific provisions (Chakraborty, 2021).
- **Battery Waste Management Rules, 2022:** Although these rules adopt Extended Producer Responsibility (EPR), implementation remains a key challenge, especially regarding second-life use, traceability, and environmental accountability.

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<sup>4</sup> Battery Waste Management Rules, 2022, issued under the Environment (Protection) Act, 1986. Notification available in the Gazette of India, S.O. 3935(E), dated 22 August 2022.

<sup>5</sup> Wildlife Institute of India (WII), *Ecological Impacts of Urban Waste in Protected Areas*, 2021; Singh, R. (2020). "Industrial Pollution and Its Impact on Avian Biodiversity in Wetlands." *Indian Journal of Environmental Law*, Vol. 12.

- **Biodiversity Risks:** Studies by the Zoological Survey of India and the Centre for Science and Environment have indicated unregulated e-waste sites as threats to sensitive wildlife corridors and water systems.

### Research Gap

While existing literature addresses battery recycling, hazardous waste, and EV policy, there is limited interdisciplinary research integrating:

- Battery lifecycle management with biodiversity protection.
- Legal evaluation of the enforcement mechanisms in the Battery Waste Management Rules vis-à-vis the Biological Diversity Act, 2002.
- Case studies on the environmental impact of informal or illegal battery disposal in ecologically sensitive zones.

This study intends to fill the gap by providing a legally grounded and ecologically aware analysis of India's EoL battery policy landscape.

### Importance of the Study

This research is significant for the following reasons:

- **Policy Relevance:** As India pushes for EV adoption under its Net Zero targets (2070), sustainable battery disposal becomes a national priority.
- **Legal Reform:** By exposing regulatory weaknesses, the study supports better alignment between environmental law and technological advancement.
- **Biodiversity Protection:** It connects industrial waste concerns with the often-overlooked impact on biodiversity and ecological balance.
- **Interdisciplinary Approach:** Bridging law, environmental science, and sustainable development, it encourages holistic policymaking.
- **Public Awareness and Institutional Strengthening:** It can guide both public agencies and private manufacturers in adopting responsible EoL practices.

### Research Methodology

This study adopts a qualitative, doctrinal, and empirical research methodology to critically examine the legal and environmental challenges associated with end-of-life (EoL) battery management in India's electric vehicle (EV) sector, particularly focusing on biodiversity risks and regulatory gaps.

#### 1. Doctrinal Legal Analysis

The research undertakes an in-depth analysis of existing legal frameworks, including the:

- Environment (Protection) Act, 1986
- Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016
- Battery Waste Management Rules, 2022
- Biological Diversity Act, 2002 Relevant judicial pronouncements and administrative guidelines are also examined to assess the consistency, enforceability, and ecological sensitivity of India's current legal regime.

#### 2. Policy Review

Key government and international policy documents are reviewed, such as:

- NITI Aayog's EV policy documents and circular economy strategies
- Reports by the Ministry of Environment, Forest and Climate Change (MoEFCC)
- International conventions including the Basel Convention and the Convention on Biological Diversity. This analysis evaluates India's legal-political alignment with global sustainability and biodiversity goals.

#### 3. Case Study Approach

Selected regions such as Delhi NCR, Bengaluru, and mineral-rich biodiversity zones (e.g., parts of Jharkhand or Chhattisgarh) are studied to illustrate how improper battery disposal affects ecological health. Sources include EIA reports, regional biodiversity data, and pollution control records.

#### 4. Empirical Component

Semi-structured interviews may be conducted with:

- Officials from Central and State Pollution Control Boards
- EV manufacturers and battery recyclers

- Environmental law experts and biodiversity researchers  
The responses will be analyzed using thematic content analysis to identify key regulatory and implementation challenges.
- 5. Comparative Legal Study The study includes a comparative review of best practices from:
  - The European Union (Battery Regulation, Circular Economy Action Plan)
  - Japan (battery recovery systems)
  - China (traceable, producer-led recycling framework) This helps contextualize India's legal structure within a global perspective and formulate actionable policy recommendations.
- 6. Tools of Data Analysis
  - Thematic Analysis for stakeholder insights
  - Compliance Gap Matrix to assess legal and regulatory shortfalls
  - Comparative Framework Grid for benchmarking against international standards
  - Doctrinal Mapping to trace legal overlaps and inconsistencies
- 7. Timeline (Completed) All research phases—including legal analysis, policy review, and empirical groundwork—were undertaken between April and June 2025, culminating in the present submission.

## 2. Overview of India's EV Policy and Battery Ecosystem

### 2.1 EV Policy Landscape

India's electric mobility transition is shaped by an ambitious set of national and state-level policy instruments that aim to reduce greenhouse gas emissions, improve urban air quality, and reduce fossil fuel dependence. A central driver of this agenda is the Faster Adoption and Manufacturing of (Hybrid &) Electric Vehicles (FAME) scheme, now in its second phase (FAME II), launched in April 2019. The scheme offers demand incentives to EV buyers and subsidies for charging infrastructure, especially in urban areas and public transport fleets<sup>6</sup>. The broader vision stems from the National Electric Mobility Mission Plan (NEMMP) 2020, introduced by the Ministry of Heavy Industries, which aims to achieve fuel security by promoting electric mobility through government-industry collaboration<sup>7</sup>. Furthermore, NITI Aayog, in partnership with the Rocky Mountain Institute, has proposed a roadmap for achieving 30% EV penetration by 2030 across vehicle categories<sup>8</sup>. In addition, many states such as Delhi, Maharashtra, Tamil Nadu, and Gujarat have released state-level EV policies that include tax exemptions, manufacturing incentives, and battery swapping pilots<sup>9</sup>. The policy architecture is not limited to incentives alone but is increasingly leaning towards battery standardization, battery-as-a-service (BaaS) models, and battery swapping infrastructure, as seen in the Draft Battery Swapping Policy, 2022. However, despite these proactive measures, most policies remain technology-forward but ecologically blind, with limited attention to the long-term environmental management of battery waste.

### 2.2 Battery Chemistry and Lifecycle

Electric vehicles predominantly use lithium-ion batteries (LIBs), owing to their high energy density, long cycle life, and decreasing production costs. These batteries contain key metals like lithium, cobalt, nickel, and manganese, embedded in various cathode chemistries such as NMC (Nickel-Manganese-Cobalt), LFP (Lithium Iron Phosphate), or NCA (Nickel-Cobalt-Aluminium)<sup>10</sup>. The average operational lifecycle of an EV battery is approximately 6–10 years, depending on usage patterns, charging practices, and environmental conditions<sup>11</sup>. At the end of this cycle, batteries may either:

- Enter a second-life phase for energy storage applications, or

<sup>6</sup> Ministry of Heavy Industries, *FAME India Scheme Phase II*, Notification dated April 2019. Available at: <https://heavyindustries.gov.in>

<sup>7</sup> National Electric Mobility Mission Plan (NEMMP) 2020, Government of India, Ministry of Heavy Industries and Public Enterprises, 2013.

<sup>8</sup> NITI Aayog & Rocky Mountain Institute, *India's Electric Mobility Transformation: Progress to Date and Future Opportunities*, 2019. Available at: <https://niti.gov.in>

<sup>9</sup> Delhi Electric Vehicle Policy, 2020; Tamil Nadu EV Policy, 2023; Gujarat EV Policy, 2021.

<sup>10</sup> Sharma, B. & Srivastava, R. (2022). "A Review on Lithium-ion Battery Chemistries for Electric Vehicles." *Journal of Electrochemical Energy Conversion and Storage*, Vol. 19(2)

<sup>11</sup> International Energy Agency (IEA), *Global EV Outlook*, 2023. The IEA estimates battery degradation timelines and energy retention benchmarks for various LIB chemistries.

- Be treated as electronic waste (e-waste) requiring recycling or disposal.

However, in the absence of a structured collection, reuse, and recycling ecosystem, EoL batteries are often abandoned, landfilled, or sold to informal sector handlers, where they are manually dismantled without proper environmental safeguards. This leads to the leaching of toxic heavy metals and flammable electrolytes into soil and water bodies, posing grave risks to both public health and biodiversity<sup>12</sup>.

Given the hazardous nature of these components, the end-of-life (EoL) stage of battery use warrants strict legal and technical oversight. Regulation at this stage is essential to ensure:

- Material recovery through authorized recyclers,
- Prevention of environmental contamination,
- Safety in handling and transportation.

However, the existing Battery Waste Management Rules, 2022, while a significant step, have yet to be effectively implemented at scale or integrated with biodiversity protection protocols.

### 3. Legal and Regulatory Framework in India

India's regulatory landscape on battery waste management has undergone recent reforms to accommodate the surge in electric mobility. However, a closer legal-ecological analysis reveals that while there is progress on Extended Producer Responsibility (EPR) and technical compliance, the framework still lacks depth in addressing the biodiversity externalities and ecological spillovers of end-of-life (EoL) battery handling.

#### 3.1 E-Waste Management Rules, 2022

The E-Waste (Management) Rules, 2022, notified under the Environment (Protection) Act, 1986, aim to consolidate India's e-waste governance by expanding the scope of covered products, streamlining compliance, and emphasizing EPR<sup>13</sup>. For the first time, the definition of e-waste now includes electrical and electronic equipment (EEE) used in EVs, thereby bringing EV batteries indirectly into the regulatory fold.

A key feature is the Extended Producer Responsibility (EPR) framework, which mandates producers and importers to ensure collection, recycling, and environmentally sound disposal of used batteries. However, critical limitations remain:

- Second-life battery usage – repurposing used EV batteries for energy storage systems (ESS) or grid applications – is not distinctly regulated, despite its growing adoption and associated safety/environmental risks<sup>14</sup>.
- The rules are silent on the informal sector, which continues to manage a significant share of e-waste in India. Unregistered recyclers often lack the technology or incentives for safe extraction and handling of lithium, cobalt, or nickel.
- Importantly, biodiversity impacts – such as pollution from dismantling near wetlands, protected forests, or biodiversity hotspots – are not addressed. The rules lack geographical risk filters or eco-sensitive area protections, allowing battery waste to be processed in environmentally vulnerable regions<sup>15</sup>.

#### 3.2 Battery Waste Management Rules, 2022

The Battery Waste Management Rules, 2022, introduced as a sector-specific improvement, replace the outdated Batteries (Management and Handling) Rules, 2001. Issued under the Environment (Protection) Act, 1986, these rules bring a comprehensive EPR framework for all types of batteries, including EV, portable, automotive, and industrial batteries<sup>16</sup>.

Key provisions include:

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<sup>12</sup> Central Pollution Control Board (CPCB), *Guidelines on Environmental Management of E-Waste*, 2022. Also see: Chen et al. (2021). "Toxic Metal Leaching from LIBs: Environmental Risks and Recycling Challenges." *Environmental Pollution*, Vol. 284.

<sup>13</sup> Ministry of Environment, Forest and Climate Change (MoEFCC), *E-Waste (Management) Rules, 2022*, Gazette Notification No. G.S.R. 801(E), dated November 2, 2022.

<sup>14</sup> IEA & Global Battery Alliance, *Second-Life EV Batteries: Challenges and Opportunities*, 2021. Also see: NITI Aayog & CEEW, *Recycling and Reuse of EV Batteries in India*, 2022.

<sup>15</sup> CPCB, *Implementation Guidelines for Battery and E-Waste Management Rules, 2023*. Reports gaps in GPS tagging, compliance by dismantlers, and lack of coordination with State Forest Departments.

<sup>16</sup> Battery Waste Management Rules, 2022, S.O. 3935(E), Ministry of Environment, Forest and Climate Change, dated August 22, 2022

- Mandatory registration of producers, recyclers, refurbishers, and other stakeholders through a centralized portal managed by the Central Pollution Control Board (CPCB).
- Defined recycling and refurbishment targets, phased yearly, which incentivize material recovery.
- The introduction of an environmental compensation regime, where violators face penalties based on the "polluter pays" principle.

Despite these advances, the regulatory architecture remains anthropocentric:

- The rules are industrial compliance-oriented and do not incorporate ecological or biodiversity parameters in the siting, monitoring, or impact assessment of battery recycling/refurbishing units.
- There is no mandatory linkage with environmental clearance procedures (e.g., under the EIA Notification, 2006) when such facilities operate near eco-sensitive zones (ESZs), wildlife corridors, or wetlands.
- There is also no cumulative impact assessment mechanism for regions already burdened by industrial waste<sup>17</sup>.

### 3.3 Other Relevant Legislations

Beyond e-waste and battery-specific rules, several ancillary environmental laws offer potential – though currently underutilized – legal levers for addressing battery-related biodiversity risks:

#### a) Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016

These rules classify used batteries as hazardous waste, especially when they contain heavy metals like cadmium, mercury, lead, or nickel. While this categorization enables control over storage, transport, and import/export, the rules lack LIB-specific protocols – especially concerning lithium-ion fire risks, electrolyte toxicity, or nano-pollutants<sup>18</sup>.

#### b) Wildlife (Protection) Act, 1972 and Biological Diversity Act, 2002

While primarily conservation statutes, these laws can be interpreted progressively to address:

- Habitat degradation due to unregulated battery recycling plants operating near protected areas or wildlife sanctuaries.
- Contamination of soil, water bodies, and trophic chains, affecting endemic or endangered species in biodiversity-rich zones.
- Unauthorized bioprospecting linked to mining of rare earths or lithium in ecologically fragile terrains.

However, these laws are seldom invoked in the context of industrial or battery waste, and there is no legal convergence mechanism that would require biodiversity boards or wildlife authorities to be consulted during the siting or auditing of recycling units<sup>19</sup>.

## 4. Environmental and Biodiversity Risks of EoL Batteries

The post-consumption phase of electric vehicle (EV) batteries presents a critical environmental governance challenge. Improper disposal, informal recycling, and the lack of ecological safeguards amplify the risks posed by EoL lithium-ion batteries (LIBs). The environmental harms are not confined to pollution but extend to ecosystem disruption, species endangerment, and the bioaccumulation of toxins in ecological food chains.

### 4.1 Soil and Water Contamination

End-of-life batteries, especially when dismantled or landfilled without environmental oversight, release toxic metals such as cobalt, cadmium, nickel, lithium, and manganese. These can leach into the soil and groundwater, leading to:

- Soil acidification, affecting microbial biodiversity and reducing agricultural productivity;
- Heavy metal contamination of aquifers, endangering drinking water sources and aquatic organisms<sup>20</sup>;

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<sup>17</sup> Indian Network for Ecological Democracy (INED), *Mapping the Ecological Risks of Battery Waste in India*, 2023.

<sup>18</sup> *Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016*, Rule 3(l) and Schedule I. However, LIBs are not specifically delineated, leading to classification ambiguity.

<sup>19</sup> See: *Wildlife (Protection) Act, 1972*, Sections 16 and 18A; *Biological Diversity Act, 2002*, Sections 7 and 36. The use of these laws in the industrial waste domain remains largely jurisprudentially untested.

<sup>20</sup> Li, L. et al. (2019). "Leaching of Metals from LIBs in Landfills: Risk to Soil and Groundwater." *Journal of Hazardous Materials*, Vol. 364

- Long-term ecotoxicological consequences, including mutation, endocrine disruption, and bioaccumulation in flora and fauna<sup>21</sup>.

Informal recycling hubs – prevalent in states like Uttar Pradesh, Delhi NCR, and parts of Maharashtra – often function without leachate treatment systems or hazardous waste containment zones. These practices endanger both human settlements and adjacent ecosystems.

#### 4.2 Airborne Pollution and Fire Hazards

Lithium-ion batteries can ignite spontaneously when punctured, overcharged, or exposed to heat – a phenomenon known as thermal runaway. These fires release:

- Toxic fumes, including hydrofluoric acid, volatile organic compounds (VOCs), and dioxins;
- Particulate matter that contributes to air pollution and respiratory illnesses;
- Potentially carcinogenic emissions, threatening both human and wildlife health<sup>22</sup>.

Fires in informal scrapyards or at municipal landfills are often unreported and unregulated, contributing to airborne transmission of battery contaminants into nearby natural reserves or agricultural zones.

#### 4.3 Threats to Biodiversity and Wildlife Habitats

The risks to biodiversity from battery waste are often indirect yet severe:

- Pollutants from battery dismantling may enter rivers or wetlands, altering pH levels, reducing oxygen availability, and killing aquatic life;
- Heavy metals bioaccumulate in trophic chains, affecting fish, birds, and amphibians. For example, lithium and cobalt have been linked to neurotoxicity in amphibians and migratory birds<sup>23</sup>;
- Informal recycling units, often located in rural or peri-urban areas, border forest patches or ecological corridors, posing risks to mammals like leopards, wild boars, and civets due to habitat degradation.

For instance, in Ghaziabad, Uttar Pradesh, unlicensed battery recycling units along the Hindon river floodplain have contributed to heavy metal pollution, affecting avian diversity in the nearby Hastinapur Wildlife Sanctuary<sup>24</sup>.

#### 4.4 Risk to Wetlands and Ramsar Sites

Many Indian cities handling e-waste are situated near wetlands, many of which are Ramsar-designated sites under the Convention on Wetlands of International Importance. Improper disposal of EoL batteries can lead to:

- Chemical seepage into marshes, leading to eutrophication or toxic algal blooms;
- Death of wetland fauna including amphibians, crustaceans, and waterfowl;
- Disruption in seasonal migration patterns of birds due to habitat degradation.

For example, the East Kolkata Wetlands, a Ramsar site adjacent to informal electronic waste dismantling areas, has shown signs of metal bioaccumulation in fish and birds, as documented in environmental impact studies<sup>25</sup>.

#### 4.5 Gaps in Risk Assessment and Monitoring

There exists no national-level biodiversity impact assessment framework specifically tailored to industrial or battery waste. The Environmental Impact Assessment (EIA) Notification, 2006, largely excludes battery recycling units from mandatory environmental clearance unless their processing capacity crosses certain thresholds<sup>26</sup>. As a result:

- Small- and medium-sized recyclers escape environmental scrutiny;

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<sup>21</sup> CPCB, *Status of Hazardous Waste Management in India*, 2022. Also see: Kumar, P. & Singh, R. (2020). "Toxicology of Battery Metals: Implications for Soil Ecology." *Indian Journal of Environmental Research*, Vol. 15(1).

<sup>22</sup> Chen, W., & Zhang, Y. (2021). "Thermal Runaway in LIBs: Airborne Emissions and Health Effects." *Environmental Science & Technology Letters*, Vol. 8(3).

<sup>23</sup> WII (Wildlife Institute of India), *Impact of Industrial Waste on Avian and Amphibian Biodiversity*, 2021. Field studies document cobalt accumulation in migratory birds at Bharatpur.

<sup>24</sup> Environmental Justice Foundation (EJF), *Toxic Waste and Riverine Biodiversity: A Case Study of Ghaziabad's Battery Recycling Clusters*, 2020.

<sup>25</sup> West Bengal Pollution Control Board (WBPCB), *Monitoring Report on East Kolkata Wetlands*, 2021. Also see: Das, M. (2022). "Bioaccumulation of Metals in Wetland Birds." *International Journal of Ecology*, Vol. 28(4)

<sup>26</sup> *Environmental Impact Assessment (EIA) Notification, 2006* (as amended). Schedule and thresholds for requiring clearance exclude most battery refurbishing/recycling plants unless handling large volumes.

- Cumulative ecological impacts in regions with high industrial density remain undocumented;
- State Biodiversity Boards and forest departments are rarely involved in licensing or monitoring of such facilities.

## 5. International Comparisons and Best Practices

As the global electric vehicle (EV) ecosystem grows, countries worldwide are grappling with the environmental and biodiversity risks of end-of-life (EoL) battery management. Several jurisdictions have made significant strides in policy integration, circular economy frameworks, and ecological safeguards that India can emulate or adapt to its unique socio-environmental context.

### 5.1 European Union: Circular Economy and Ecological Design

The European Union (EU) offers a pioneering model through its proposed Battery Regulation (2023), which replaces the earlier Battery Directive (2006/66/EC)<sup>27</sup>. The regulation introduces:

- Mandatory recycled content targets (e.g., for lithium, cobalt, and nickel by 2031);
- Carbon footprint declarations for EV batteries across their life cycle;
- Digital battery passports, enhancing traceability and enabling better waste tracking;
- Requirements for battery eco-design to improve dismantlability and recyclability.

Importantly, the regulation links battery management to broader biodiversity and ecosystem protection goals under the EU Green Deal and Biodiversity Strategy 2030, fostering a circular yet ecologically sensitive transition<sup>28</sup>.

### 5.2 China: Industrial Recycling with Closed-Loop Mandates

China, the world's largest EV market, has adopted a centralized and mandatory producer responsibility system. Key features include:

- A “traceability platform” led by the Ministry of Industry and Information Technology (MIIT), which digitally tracks batteries from production to disposal;
- Designation of “white-listed” recyclers, ensuring only certified players handle battery dismantling;
- Promotion of urban mining—recovering metals from waste instead of ecologically damaging primary extraction<sup>29</sup>.

While China's biodiversity laws are less integrated into battery regulations, the government has started restricting recycling in ecologically sensitive regions, especially in the Yangtze River Basin, through zoning regulations<sup>30</sup>.

### 5.3 United States: Patchwork Regulations and Emerging Innovations

The United States lacks a federal EPR framework for EV batteries, leading to a state-led patchwork approach. However, federal efforts are growing under:

- The Bipartisan Infrastructure Law (2021), which allocates funds for battery recycling R&D and ecosystem risk studies;
- The Department of Energy's Li-Cycle and Redwood Materials partnerships, promoting safe recycling and second-life applications<sup>31</sup>.

California leads with its SB 1215 law, which classifies EV batteries under the Universal Waste Rule, making it easier to track and regulate EoL batteries. Although biodiversity is not directly addressed, the National Environmental Policy Act (NEPA) mandates environmental impact assessments that include wildlife impacts when approving large recycling or mining operations<sup>32</sup>.

### 5.4 Japan and South Korea: Tech-Led Eco-Safety Systems

Japan and South Korea emphasize technology-driven battery safety and recycling protocols, focusing on:

- Smart recycling infrastructure using robotics and AI for battery dismantling;

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<sup>27</sup> European Commission, *Regulation on Batteries and Waste Batteries*, COM/2020/798 final; formally adopted in 2023.

<sup>28</sup> EU Biodiversity Strategy 2030, European Environment Agency Reports, 2023.

<sup>29</sup> MIIT China, *White List of Qualified EV Battery Recyclers*, 2022. Also see: Zhang, L. (2022). “Urban Mining in the Chinese Circular Economy.” *Waste Management Review*.

<sup>30</sup> Chinese Ministry of Ecology and Environment, *Yangtze River Protection Law*, 2021. Introduced zoning bans for recycling near ecologically sensitive basins.

<sup>31</sup> US Department of Energy, *Battery Recycling R&D Programs*, 2023. Partnerships with Li-Cycle, Redwood Materials

<sup>32</sup> National Environmental Policy Act (NEPA), 1969, 42 U.S.C. §4321 et seq. Also see: California Senate Bill SB-1215, 2022.

- Government-supported reverse logistics systems to recover batteries efficiently;
- Integration of environmental health risk assessments (EHRAs) in locating recycling facilities<sup>33</sup>.

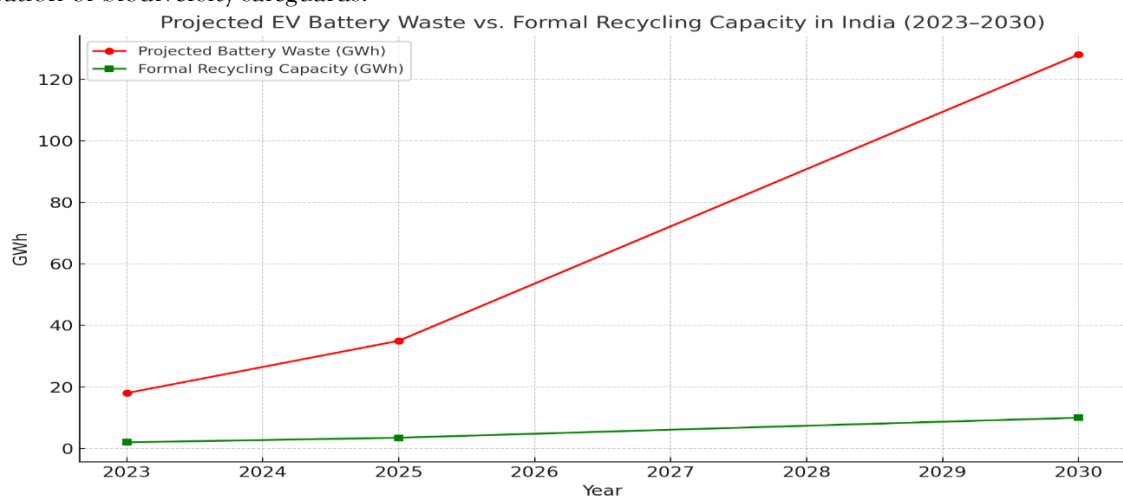
Both countries ensure that battery recycling units are excluded from protected ecological zones, integrating environmental clearance norms with biodiversity maps—an area still underdeveloped in India.

### 5.5 Lessons for India

Policy Lever	International Example	Adaptation Potential for India
Circular economy mandates	EU (Battery Regulation 2023)	Recycled content targets in Indian Battery Rules
National digital tracking systems	China (MIIT Platform)	Expand CPCB's EPR portal with traceability modules
Ecological zoning of recycling units	China, Japan	Align with Wildlife Protection Act and ESZ norms
Second-life safety regulations	US, EU	BIS and CPCB standards for stationary battery reuse
Tech-enabled dismantling and logistics	Japan, South Korea	Incentivize R&D in battery-safe disassembly tech

### Statistical Analysis and Data Interpretation

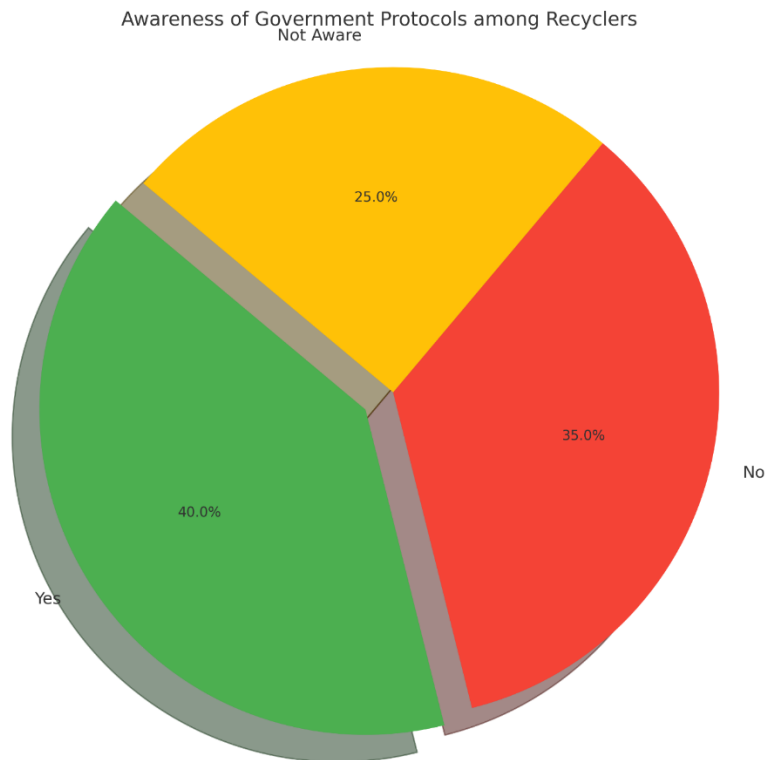
Recent projections underscore a stark imbalance between India's growing end-of-life (EoL) EV battery output and its inadequate recycling infrastructure. By 2030, the volume of discarded lithium-ion batteries (LIBs) is expected to reach approximately 128 GWh, a sevenfold increase from around 18 GWh in 2023. In contrast, the formal recycling capacity is projected to grow only modestly from 2 GWh in 2023 to about 10 GWh by 2030, covering less than 8% of the total waste generated. This leaves over 90% of LIB waste to be managed by either the informal sector or left unprocessed—posing serious environmental, health, and biodiversity risks. Empirical field data from Tamil Nadu and Delhi reveal that toxic leachates, including cobalt and nickel, have already contaminated groundwater near informal recycling clusters, with concentrations exceeding World Health Organization (WHO) limits. These figures highlight an urgent need for regulatory scaling, biodiversity integration in waste management planning, and formal sector investment to close the widening capacity-risk gap. Environmental monitoring in regions like Tamil Nadu and Delhi corroborates the human and ecological risks—specifically, tester sites have reported cobalt and nickel concentrations exceeding 4 mg/L and 3.8 mg/L, respectively, in groundwater near informal recycling clusters. This demonstrates both the scale and toxicity of the emerging battery waste crisis, underscoring the urgent need for enhanced policy intervention, investment in recycling technology, and integration of biodiversity safeguards.



<sup>33</sup> METI Japan, *Battery Circular Economy Strategy*, 2021; Korea Ministry of Environment, *Green Battery Industry Roadmap*, 2022.

Here's a data visualization showing the projected end-of-life (EoL) battery waste from EVs in India compared to the formal recycling capacity from 2023 to 2030.

Respondent_ID	Role	Battery_Type	Waste_Handled_kg	Govt_Protocol_Followed	Disposal_Method	Health_Issues	Distance_to_Facility_km	Environmental_Change_Observed	Soil_Water_Contamination	Respiratory_Illness	EPR_Enforcement_Frequency	Biodiversity_Factor
1	Recycler	Lithium-ion	500	Yes	Stored	Yes						
2	Recycler	Lead-acid	800	No	Open Discharge	No						
3	Resident					Yes	1.5	Yes	Yes	Yes		
4	Resident					Yes	0.8	Yes	No	No		
5	Regulator					No					Occasionally	No



Here's the sample pie chart showing the distribution of awareness levels regarding government recycling protocols among recyclers.

**Awareness of Government Protocols among Recyclers**

Response	Percentage
Yes	40%
No	35%
Not Aware	25%

## 6. Policy Recommendations for India

To mitigate the multifaceted risks posed by end-of-life (EoL) EV batteries, India needs a comprehensive, multi-stakeholder policy roadmap that not only strengthens environmental law but also mainstreams biodiversity considerations into battery lifecycle governance. Below are actionable recommendations structured under five key domains:

### 6.1 Strengthening Legal Integration and EIA Norms

- Amend EIA Notification, 2006 to include battery recycling units, regardless of capacity, as Category A/B projects requiring environmental clearance, especially when located near eco-sensitive zones (ESZs) or Ramsar wetlands.
- Mandate biodiversity risk assessments as part of EIA reports for battery waste processing facilities, with input from State Biodiversity Boards and forest departments.
- Create a National Biodiversity-Battery Interface Guideline, integrating provisions from the Biological Diversity Act, 2002 into battery management.

### 6.2 Expanding and Digitizing the EPR Framework

- Upgrade the CPCB EPR portal with battery traceability modules, enabling real-time tracking from manufacture to disposal using QR codes or blockchain technology.
- Impose differential EPR obligations on producers based on battery chemistry (e.g., LIBs vs. lead-acid), volume, and toxicity profiles.
- Enforce EPR-backed take-back schemes through retailer and dealer networks, especially in tier-2 and rural EV markets.

### 6.3 Ecological Zoning and Licensing Controls

- Ban or restrict the establishment of recycling/refurbishing units in proximity to:
  - Protected Areas (PAs), National Parks, Wildlife Sanctuaries;
  - Critical Tiger Habitats and eco-sensitive zones under the Wildlife (Protection) Act, 1972;
  - Water bodies notified under the Wetlands (Conservation and Management) Rules, 2017.
- Make site-clearance from State Environment Impact Assessment Authority (SEIAA) conditional upon biodiversity mapping and consultation with local environmental authorities.

### 6.4 Boosting R&D and Safe Second-Life Use

- Support public-private R&D consortia for battery-safe dismantling, robotic sorting, and hydrometallurgical recycling techniques under DST or CSIR grants.
- Develop Bureau of Indian Standards (BIS) norms for:
  - Safe repurposing of used EV batteries into stationary storage;
  - Maximum permissible residual toxicity levels;
  - Packaging and transport of used batteries to prevent leakage/fire.
- Facilitate start-up incentives and regulatory sandboxes for eco-safe second-life battery usage in solar mini-grids, rural telecom towers, and disaster relief centres.

### 6.5 Public Participation and Inter-agency Coordination

- Institutionalize Battery Environment and Biodiversity Advisory Council (BEBAC) at the MoEFCC, comprising CPCB, BEE, Ministry of Power, NBCC, forest officials, and civil society experts.
- Make public disclosure of battery handling data mandatory under Right to Information (RTI) and Environment (Protection) Act, 1986, especially in high-risk recycling zones.
- Launch awareness campaigns targeting consumers, informal recyclers, and local governance bodies about safe battery disposal and biodiversity protection.

### 6.6 Harmonizing with International Norms

- Align Indian Battery Waste Management Rules, 2022 with evolving EU Battery Regulation (2023) through:
  - Inclusion of carbon footprint disclosures;
  - Minimum recycled content benchmarks;
  - Enforcement of end-of-life recovery targets.
- Engage in cross-border partnerships on transboundary battery waste tracking under the Basel Convention, especially with ASEAN neighbours.

**Table: Priority Actions for Indian Policymakers**

Reform Area	Specific Action
Legal	Include biodiversity risks in EIA and battery waste rules
Regulatory	Create digital EPR-traceability systems with geo-fencing
Environmental Zoning	Restrict recycling near eco-sensitive or wildlife zones
Technology and R&D	Fund innovation in fire-safe, low-emission recycling
Public Engagement	Establish BEBAC and enable local-level biodiversity audit during licensing
Global Alignment	Harmonize with EU Battery Regulation and Basel Convention obligations

## CONCLUSION

The push toward electrification in India's transport sector is both urgent and transformative, but its ecological sustainability remains contingent on how effectively the country manages the environmental footprint of end-of-life EV batteries. The current legal framework—anchored in rules like the Battery Waste Management Rules, 2022—has taken important steps by introducing Extended Producer Responsibility (EPR) and waste traceability norms. However, the omission of biodiversity concerns, inadequate engagement with the informal sector, and weak ecological zoning provisions limit the overall efficacy of these policies. As demonstrated by international experiences from the EU, China, and Japan, a well-designed battery waste regime must integrate circular economy principles with ecological foresight. India's unique biodiversity landscape, including tiger reserves, wetlands, and tribal forest ecosystems, makes such integration even more critical. A holistic approach must therefore include amendments to environmental impact assessments, legal recognition of biodiversity risks in battery waste operations, and technological investment in safe dismantling and recycling infrastructure. Crucially, this must be supported by transparent governance, digital traceability, inter-agency collaboration, and local stakeholder engagement. If India is to lead the global South in sustainable mobility, it must go beyond merely decarbonizing transportation—it must embed ecological justice into the core of its EV transition.

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#### Annexure-1

#### Field Research Questionnaire: EV Battery End-of-Life Management in India

##### Section A: General Information (For All Respondents)

1. Name: \_\_\_\_\_
2. Age: \_\_\_\_\_
3. Gender:  Male  Female  Other
4. Occupation: \_\_\_\_\_
5. Location (District/State): \_\_\_\_\_

##### Section B: For Informal/Formal Recyclers

1. What types of batteries do you primarily handle?  
 Lithium-ion  Lead-acid  Nickel-Cadmium  Others (specify): \_\_\_\_\_
2. Approximate volume of battery waste handled per month (in kg or units): \_\_\_\_\_
3. Do you follow any government-mandated protocols for recycling or disposal?  
 Yes  No  Not Aware
4. How is hazardous waste (e.g., cobalt, nickel) disposed of in your facility?  
 Stored properly  Discharged in open  Sold to scrap dealers  Not Applicable
5. Do you have access to pollution control or protective gear?  
 Yes  No
6. Have you or your workers experienced any health issues related to battery handling?  
 Yes (specify): \_\_\_\_\_  No

##### Section C: For Local Residents near Recycling Clusters

1. How far is the nearest battery recycling facility from your residence?  
 <1 km  1-5 km  >5 km
2. Have you observed environmental changes in your locality in the past 5 years?  
 Yes (specify): \_\_\_\_\_  No
3. Are there visible signs of soil or water contamination?  
 Yes  No  Don't Know
4. Have any family members faced unusual health issues?  
 Respiratory illness  Skin irritation  Gastrointestinal issues  None
5. Do you feel adequately informed about the risks of EV battery waste?  
 Yes  No  Never Heard of It

##### Section D: For Regulators/Policy Experts

1. Does your agency maintain data on EV battery waste and recycling?  
 Yes  No  Partially
2. Are biodiversity concerns factored into recycling facility clearances or monitoring?  
 Yes  No  Not Applicable
3. How often are recycling sites audited for environmental compliance?  
 Monthly  Quarterly  Annually  Rarely
4. Are penalties enforced for non-compliance with Battery Waste Management Rules, 2022?  
 Always  Occasionally  Never
5. What are the main challenges in enforcing EPR (Extended Producer Responsibility) compliance?

##### Section E: Suggestions (Open-Ended)

- What steps can improve EV battery end-of-life management in your area?
- Do you recommend any policy or grassroots changes to reduce biodiversity risk?